

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lane W. Lee; Timothy R. Feldman; Douglas M. Rayburn;  
Gary G. Kiwimagi

Assignee: DPHI Acquisitions, Inc.

Title: System and Method for Detecting Unauthorized Copying  
of Encrypted Data

Application No.: 09/940,174 Filing Date: August 27, 2001

Examiner: Calvin L. Hewitt, II Group Art Unit: 3621

Docket No.: M-12038 US Confirmation No.: 5308

RECEIVED  
CENTRAL FAX CENTER  
OCT 26 2004

Irvine, California  
October 26, 2004

Via Facsimile to (703) 872-9306

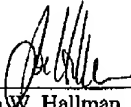
Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following documents are being facsimile transmitted to the  
U.S. Patent and Trademark Office on the date shown below:

- 1) Transmittal Letter (1 page);
- 2) Claim of Small Entity Status (1 page); and
- 3) Response to Office Action (12 pages).

Dated: October 26, 2004

  
Jonathan W. Hallman  
Registration No. 42,622

Number of pages (including this sheet): 15

LAW OFFICES OF  
MACPHERSON KWOK CHEN  
& HEID LLP

1762 TECHNOLOGY DRIVE  
SUITE 226  
SAN JOSE, CA 95110  
(949) 752-7040  
FAX (408) 392-9262

MacPherson Kwok Chen & Heid LLP  
1762 Technology Drive, Suite 226  
San Jose, CA 95110  
Telephone: (949) 752-7040  
Fax: (408) 392-9262 and (949) 752-7049

Fax to USPTO

**MacPherson Kwok Chen & Heid LLP**

1762 Technology Drive, Suite 226

San Jose, CA 95110

Tel. (408) 392-9250

Fax (408) 392-9262

Email: mailbox@macpherson-kwok.com

2402 Michelson Drive, Suite 210

Ft. Collins, CO 92612

Tel. (949) 752-7040

Fax (949) 752-7049

RECEIVED  
CENTRAL FAX CENT:  
OCT 26 2004

October 26, 2004

**Via Facsimile to (703) 872-9306**Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicants: Lane W. Lee; Timothy R. Feldman; Douglas M. Rayburn;  
Gary G. Kiwinagi  
Title: System and Method for Detecting Unauthorized Copying  
of Encrypted Data  
Application No.: 09/940,174 Filing Date: August 27, 2001  
Examiner: Calvin L. Hewitt, II Group Art Unit: 3621  
Docket No.: M-12038 US Confirmation No.: 5308

Dear Sir:

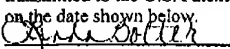
Transmitted herewith are the following documents in the above-identified application:

- (1) Certification of Facsimile Transmission (1 page);
- (2) This Transmittal Letter (1 page);
- (3) Claim of Small Entity Status (1 page); and
- (4) Response to Office Action (12 pages).

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below:

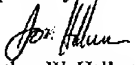
**CLAIMS AS AMENDED**

|                                                 | <u>Claims Remaining<br/>After Amendment</u>                                                                                                                                                                                                |       | <u>Highest No.<br/>Previously<br/>Paid For</u> |   | <u>Percent<br/>Extra</u> |   | <u>Rate</u> |    | <u>Additional Fee</u> |
|-------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|------------------------------------------------|---|--------------------------|---|-------------|----|-----------------------|
| Total Claims                                    | 14                                                                                                                                                                                                                                         | Minus | 20                                             | = | 0                        | x | \$ 9.00     | \$ | - 0 -                 |
| Independent<br>Claims                           | 3                                                                                                                                                                                                                                          | Minus | 4                                              | = | 0                        | x | \$44.00     | \$ | - 0 -                 |
| <input type="checkbox"/>                        | Fee of \$150.00 for the first filing of one or more multiple dependent claims per application                                                                                                                                              |       |                                                |   |                          |   |             | \$ |                       |
| <input type="checkbox"/>                        | Information Disclosure Statement                                                                                                                                                                                                           |       |                                                |   |                          |   |             | \$ |                       |
| <b>Total additional fee for this Amendment:</b> |                                                                                                                                                                                                                                            |       |                                                |   |                          |   |             | \$ |                       |
| <input checked="" type="checkbox"/>             | Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested. |       |                                                |   |                          |   |             |    |                       |
| <input type="checkbox"/>                        | Please charge our Deposit Account No. 50-2257 in the amount of                                                                                                                                                                             |       |                                                |   |                          |   |             | \$ | - 0 -                 |
| <input checked="" type="checkbox"/>             | Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 50-2257                                                                                                                                    |       |                                                |   |                          |   |             |    |                       |

Certification of Facsimile Transmission  
 I hereby certify that this paper is being facsimile  
 transmitted to the U.S. Patent and Trademark Office  
 on the date shown below.  
  
 Linda Bolter  
 Date of Signature

October 26, 2004  
 Date of Signature

Respectfully submitted,

  
 Jonathan W. Hallman  
 Attorney for Applicants  
 Reg. No. 31,975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lane W. Lee; Timothy R. Feldman; Douglas M. Rayburn;  
Gary G. Kiwimagi

Assignee: DPHI Acquisitions, Inc.

Title: System and Method for Detecting Unauthorized Copying  
of Encrypted Data

Application No.: 09/940,174 Filing Date: August 27, 2001

Examiner: Calvin L. Hewitt, II Group Art Unit: 3621

Docket No.: M-12038 US Confirmation No.: 5308

Irvine, California  
October 26, 2004

Via Facsimile to (703) 872-9306

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CLAIM OF SMALL ENTITY STATUS

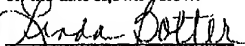
Dear Sir:

Applicants hereby claim the benefit of small entity status for the above-identified patent application.

If there are any questions regarding any aspect of the application, please contact the undersigned at (949) 752-7040.


Certification of Facsimile Transmission

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

  
Linda Bolter

October 26, 2004  
Date of Signature

Respectfully submitted,

  
Jonathan W. Hallman  
Attorney for Applicant  
Reg. No. 42,622

LAW OFFICES OF  
MACPHERSON, KIWOX CHEN  
& HEED LLP

2400 MICHELSON DRIVE  
SUITE 210  
IRVINE, CA 92613  
(949) 752-7040  
FAX (949) 752-7049